

**TRANSMITTAL  
FORM***(to be used for all correspondence after initial filing)*

<b>Application Number</b>	10/627,934	
	<b>Filing Date</b>	July 25, 2003
	<b>First Named Inventor</b>	Brian S. Sproat
	<b>Art Unit</b>	1623
	<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	ILI 125 CON (2)	
<b>Total Number of Pages in This Submission</b>		

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Two Powers of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Two Statements Under 37 CFR 3.73(b); Return Postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Patrea L. Pabst, Esq., Reg. No. 31,284 Suite 2000, One Atlantic Center, 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400
<b>Signature</b>	
<b>Date</b>	January 22, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
<b>Typed or printed name</b>	Andreen Barrett		
<b>Signature</b>		<b>Date</b>	January 22, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian S. Sproat  
Serial No.: 10/627,934  
Filed: July 25, 2003  
For: *PURIFICATION OF OLIGOMERS*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE OF AN UNDIVIDED JOINT INTEREST  
AND REVOCATION OF PRIOR POWERS**

Sir:

As owner of an undivided joint interest in the above-identified patent application, as evidenced by the attached Statement Under 37 C.F.R. § 3.73(b), all powers of attorney previously given are hereby revoked and the following attorneys and agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst	Registration No. 31,284
Rivka D. Monheit	Registration No. 48,731
Todd S. Hofmeister	Registration No. 53,029

**Please send all correspondence relating to the above-identified patent application to:**

**Customer No. 23579  
Patrea L. Pabst  
HOLLAND & KNIGHT LLP  
Suite 2000, One Atlantic Center  
1201 West Peachtree Street, N.E.  
Atlanta, Georgia 30309-3400**

**(404) 817-8473 (Telephone)  
(404) 817-8588 (Fax)**

"Purification of Oligomers"

Filed: July 25, 2003

By: Brian S. Sproat

Power of Attorney by Assignee of an Undivided Joint  
Interest and Revocation of Prior Powers

The undersigned signatory (whose title is supplied below) is empowered to act on behalf of the assignee identified below, and has reviewed all the documents in the chain of title of the above-identified patent application and, to the best of the undersigned's knowledge and belief, title is in the assignee and Sirna Therapeutics, Inc.

**YALE UNIVERSITY**

By: 

Printed Name: Dorothy K. Robinson

Title: Vice President and General Counsel

Date: 8 / 8 / 03

ATL1 #586451 v1



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Brian S. Sproat

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: July 25, 2003

Entitled: PURIFICATION OF OLIGOMERS

Yale University, a corporation of the State of Connecticut  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of an undivided joint interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above and its parents, to the current assignee as shown below:

1. From: Brian S. Sproat To: Innovir Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 9488, Frame 0580, or for which a copy thereof is attached.
2. From: Innovir Laboratories, Inc. To: Ribozyme Pharmaceuticals, Inc. and Innovir Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 011437, Frame 0183, or for which a copy thereof is attached.
3. From: Innovir Laboratories, Inc. To: Yale University  
The document was recorded in the United States Patent and Trademark Office at Reel 010660, Frame 0330, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/8/03  
Date

Dorothy K. Robinson

Typed or printed name

Dorothy K. Robinson  
Signature

Vice President & General Counsel

Title



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian S. Sproat  
Serial No.: 10/627,934  
Filed: July 25, 2003  
For: *PURIFICATION OF OLIGOMERS*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE OF AN UNDIVIDED JOINT INTEREST  
AND REVOCATION OF PRIOR POWERS**

Sir:

As owner of an undivided joint interest in the above-identified patent application, as evidenced by the attached Statement Under 37 C.F.R. § 3.73(b), all powers of attorney previously given are hereby revoked and the following attorneys and agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst	Registration No. 31,284
Rivka D. Monheit	Registration No. 48,731
Todd S. Hofmeister	Registration No. 53,029

**Please send all correspondence relating to the above-identified patent application to:**

**Customer No. 23579  
Patrea L. Pabst  
HOLLAND & KNIGHT LLP  
Suite 2000, One Atlantic Center  
1201 West Peachtree Street, N.E.  
Atlanta, Georgia 30309-3400**

**(404) 817-8473 (Telephone)  
(404) 817-8588 (Fax)**

"Purification of Oligomers"

Filed: July 25, 2003

By: Brian S. Sproat

Power of Attorney by Assignee of an Undivided Joint  
Interest and Revocation of Prior Powers

The undersigned signatory (whose title is supplied below) is empowered to act on behalf of the assignee identified below, and has reviewed all the documents in the chain of title of the above-identified patent application and, to the best of the undersigned's knowledge and belief, title is in the assignee and Yale University.

**SIRNA THERAPEUTICS, INC.**

By: 

Printed Name: Bharat Chowrira

Title: Vice President, Legal Affairs  
Licensing and Patent Counsel

Date: November 19, 2003

ATL1 #586453 v1



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Brian S. Sproat

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: July 25, 2003

Entitled: PURIFICATION OF OLIGOMERS

Sirna Therapeutics, Inc.

(Name of Assignee)

a corporation of the State of Delaware

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of an undivided joint interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above and its parents, to the current assignee as shown below:

1. From: Brian S. Sproat To: Innovir Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 9488, Frame 0580, or for which a copy thereof is attached.

2. From: Innovir Laboratories, Inc. To: Ribozyme Pharmaceuticals, Inc. and Innovir Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 011437, Frame 0183, or for which a copy thereof is attached.

3. From: Ribozyme Pharmaceuticals, Inc. To: Sirna Therapeutics, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

November 19, 2003  
Date

Bharat Chowrira  
Typed or printed name

Bharat Chowrira  
Signature  
Vice President, Legal Affairs,  
Licensing and Patent Counsel